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14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D. C. 20231

Jc857 U.S. PTO
09/28/02
08/13/01

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Masaki KATOH, Katsuyuki YAMADA and Yuki NAKAMURA

Inventors

for

PHASE-CHANGE TYPE OPTICAL INFORMATION RECORDING MEDIUM

Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. X Specification (total pages 27) including:
 - a. 3 pages of claims (8 claims)
 - b. 1 page Abstract
2. X 2 sheets of informal X formal drawings (Figs. 1-5)
3. Oath or declaration of Applicant(s) (total pages)
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Item 14 completed)
4. Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. An assignment of the invention to Ricoh Company, Ltd. (cover sheet & document(s))
8. 37 CFR 3.73(b) Statement (*when there is an assignee*)
9. Power of Attorney
 - a. Newly executed (original or copy)
 - b. Copy from a prior application

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10. ___ Information Disclosure Statement (IDS)/PTO-1449

___ Copies of IDS Citations

11. ___ A Preliminary Amendment

12. X Return Receipt Postcard (MPEP 503)

13. ___ A small entity statement under 37 C.F.R. §1.9 and §1.27

___ Statement filed in prior application, status still proper and desired

14. ___ If a Continuing Application, *check the appropriate box and supply the requisite information:*

☐ Continuation of prior application No.: _____ filed _____

☐ Divisional of prior application No.: _____ filed _____

☐ Continuation-in-part (CIP) of prior application No.: _____ filed _____

15. ___ Amend the specification by inserting before the first line of page one: --This is a ___ continuation
___ divisional of application Serial No. _____ filed ____--

16. X Priority of application No. 2000-245602 filed in Japan on August 14, 2000. Applicant hereby
claims priority under 35 U.S.C. § 119.

X A certified copy of priority application No. 2000-245602 is enclosed

___ A certified copy of priority application No. _____ has been filed in prior application
S.N. _____ filed _____

17. ___ Other (identify) _____

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate		Fee	
	Number Filed		Number Extra*		Small Entity	Other Entity	Small Entity	Other Entity
Total Claims	8-20	=	0	x	\$ 9	\$ 18	= \$	\$ 0
Indep. Claims	2-3	=	0	x	\$ 40	\$ 80	= \$	\$ 0
Multiple Dependent Claims Presented: Yes ___ No <u>X</u>					\$135	\$270	= \$	\$ 0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee		\$ 355	\$ 710
					Total Fee			\$710

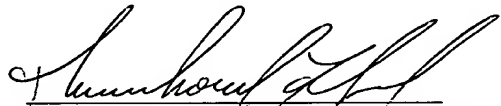
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18. X A check in the amount of \$ 710.00 to cover the filing fee.
19. Please charge Deposit Account No. 03-3125 in the amount of \$ 40.00 for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
- The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. X Other (identify) Cover Page

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Masaki KATOH et al.
Serial No. : Not Yet Assigned Group Art Unit:
Date Filed : Concurrently Herewith Examiner:
For : PHASE-CHANGE TYPE OPTICAL INFORMATION RECORDING
MEDIUM

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New York, N.Y. 10036

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

EXPRESS MAIL
LETTER OF TRANSMITTAL

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Date of Deposit: August 13, 2001

I hereby certify that the above-identified application consisting of a 27-page specification, cover page, 8 claims, 2 sheets of formal drawings, 3 copies of transmittal form, certified copy of Priority Application No. 2000-245602 and check for \$710 filing fee, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Jared K. Lab
Mail Room Employee
Depositing Express Mail Material

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